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PTO/SB/05/11-00
Approved for use through 10/31/2002. OMB 0551-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. L-1610
First Inventor Michael D. Vander Voort
Title NEMA-TYPE AC POWER OUTLET CONNECTORS
Express Mail Label No. ET420113532US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 35)
(Preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 7)
5. ☒ Oath or Declaration (Total Pages 2)
- a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Howard R. Lambert
Address 5245 Gatewood Lane
City Anaheim State CA Zip Code 92807
Country U.S.A. Telephone (714) 637-5678 Fax (714) 637-5678

Name (Print/Type) Howard R. Lambert Registration No. (Attorney/Agent) 27,206
Signature *Howard R. Lambert* Date 11-16-2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

COPY OF PAPERS
ORIGINALLY FILED

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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (11-00)
10/03/9625
10/19/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 488.00

Complete if Known

Application Number	Unknown
Filing Date	Unknown
First Named Inventor	Michael D. Vander Vorste
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	L-1610

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 12-0432
Deposit Account Name: Howard R. Lambert

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1)			(\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-20** = 4	9	36
4	-3** = 1	42	42
Multiple Dependent:			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

(\$78.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) Assignment Record.			40
SUBTOTAL (3)			(\$40.00

*Reduced by Basic Filing Fee Paid

(\$40.00

SUBMITTED BY

Complete (if applicable)

Name (Print Type)	Howard R. Lambert	Registration No. (Attorney/Agent)	27,206	Telephone	(714) 637-5678
Signature	<i>Howard R. Lambert</i>	Date	10-10-2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney's Docket No. L-1610

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor: Michael D. Vander Vorste and Peter S. Pulizzi

For: **NEMA-TYPE AC POWER OUTLET CONNECTORS**

1. Type of Application

This new application is for a(n)

- ☒ Original (non-provisional) utility
☐ Design
 ☐ Plant
☐ Divisional.
☐ Continuation.
☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)

- ☒
- none

3. Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- 29 Pages of specification
5 Pages of claims
1 Page of Abstract
7 Sheets of drawing
☒ formal
☐ informal

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on October 19, 2001 in an envelope as Express Mail, Post Office to Addressee Mailing Label Number ET420113532US addressed to: Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing paper

4. Additional papers enclosed

- ☐ Preliminary Amendment
☐ Information Disclosure Statement (37 CFR 1.98)
☐ Form PTO-1449
☒ Power of Attorney
☒ Form PTO/SB/17 Fee Transmittal for FY 2001
☒ Form PTO/SB/05 Utility Patent Application Transmittal

5. Declaration or oath:

- ☒ Inventors Michael D. Vander Vorste and Peter S. Pulizzi
☐ Legal representative of inventor(s). 37 CFR 1.42 or 1.43.
☐ Not Enclosed.

6. Assignment:

- ☒ An assignment of the invention to PULIZZI ENGINEERING INC.
☒ Is attached.
☐ Will follow.

7. Fee Calculation (37 CFR 1.16)

CLAIMS AS FILED

	Number filed	Number extra	Rate (Small Entity)	Basic Fee 37 CFR 1.16(b) \$370.00
Total				
Claims (37 CFR 1.16(c))	24- 20 =	4 x	\$ 9.00=	\$ 36.00
Independent				
Claims (37 CFR 1.16(b))	4 - 3 =	1 x	\$ 42.00=	\$ 42.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))		-	\$135.00=	\$ 0.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment deleting multidependencies enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$ 448.00

8. Small Entity Statement(s):

- ☒ Applicants' assert that Application is entitled to Small Entity status.
☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9c is attached.
☐ Status as a small entity was claimed in prior application serial No. _____, filed on _____ from which benefit is being claimed for this application under:
 35 U.S.C. ☐ 119(e),
☐ 120,

- ☐ 121,
☐ 365(c),

and which status as a small entity is still proper and desired.

☐ A copy of the verified statement in the prior application is included.

9. Request for International-Type Search (37 CFR 1.104(d)):

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

10. Fee Payment Being Made at This Time:

- ☐ Not Enclosed
☐ No filing fee is to be paid at this time.
- ☒ Enclosed
- | | |
|--|-----------|
| <input checked="" type="checkbox"/> Basic filing fee | \$ 448.00 |
| <input checked="" type="checkbox"/> Recording assignment
(\$ 40.00; 37 CFR 1.21(h))
(See attached cover sheet for
"ASSIGNMENT ACCOMPANYING NEW APPLICATION".) | \$ 40.00 |
| <input type="checkbox"/> For processing an application with a
specification in a non-English language.
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) | \$ _____ |
| <input type="checkbox"/> Processing and retention fee
(\$130.00; 37 CFR 1.53(d) and 1.21(l)) | \$ _____ |
| <input type="checkbox"/> Fee for international-type search report
(\$40.00; 37 CFR 1.21(e)) | \$ _____ |

TOTAL FEES ENCLOSED \$ 488.00

11. Method of Payment of Fees

- ☒ Check number 124 in the amount of \$ 488.00
☐ Charge Account No. 12-0432 in the amount of \$ _____
A duplicate of this transmittal is attached.

15. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-0432.
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
☒ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
☐ 37 CFR 1.17 (application processing fees)

16. Instructions as to Overpayment:

- ☒ Credit Account No. 12-0432
☐ Refund


SIGNATURE OF ATTORNEY

Howard R. Lambent, Reg. No. 27,206
Attorney for Applicants
5245 Gatewood Lane
Anaheim CA 92807
Tel/Fax No. (714) 637-5678